

State of New Mexico  
Office of the Secretary of State  
**2010**

Ethics Administration	325 Don Gaspar, Suite 300	Santa Fe, New Mexico 87503
Telephone: (800) 477-3632	www.sos.state.nm.us.	Fax: (505) 827-4954

## FINANCIAL DISCLOSURE STATEMENT

**Definition of "Financial Interest"**

The term "financial interest" is defined as "interest held by an individual or his spouse that is (1) an ownership interest in business; or (2) any employment or prospective employment for which negotiations have already begun;"

The table below summarizes who is required to disclose a financial interest and the applicable filing dates. A Statement of written disclosure due in January is timely filed if received by the filing officer on any regular working day in January. If the last day of the month falls on a weekend, it is timely filed if received by the filing officer on the next regular working day.

Who Must Disclose	Filing Dates
Candidates for legislative and statewide offices	At the time a declaration of candidacy or nominating petition is filed
Incumbents in legislative and statewide offices	During the month of January
Heads of State agencies appointed to boards or commissions, subject to senate confirmation	Within 30 days of appointment and every January thereafter
Public officials appointed to boards or commissions, subject to senate confirmation	Within 30 days of appointment and every January thereafter
State employees and public officials with financial interest that may be affected by their employment or service	Before entering state employment or taking office and every January thereafter

**Filing Officer**

An FDS or other written disclosure, must be filed (received by the proper filing officer) by the statutory fixed filing date to avoid the imposition of sanctions or penalties.

**Statewide Officials and Others.**

All other reporting persons are required to file the Statement or other written disclosure with the Office of the Secretary of State.

**Legislators and Candidates.** An incumbent in or candidate for legislative office, whose district lies entirely within one county or is composed of only one county, shall file the Statement with the county clerk in his or her county of residence.

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# 2010 FINANCIAL DISCLOSURE STATEMENT

- ☐ Annual Filing
- ☐ Filing with Declaration of Candidacy
- ☐ Within 30 days of Appointment/Hire

<b>1. REPORTING INDIVIDUAL</b>			
<b>FULL NAME</b>		<b>RESIDENT ADDRESS</b>	
Last name		City	
First name		State	
Middle		Zip	Phone #
		<b>MAILING ADDRESS</b>	
		City	
Address		P.O. Box or Street Address	
State		Zip	
City		State	
Zip		City	
<b>Filing Status (please check the appropriate box and fill in the requested information)</b>			
<input type="checkbox"/> Candidate for	office	Date appointed, Assumed Office or Hired	
<input type="checkbox"/> Incumbent in	office		
<input type="checkbox"/> Appointed to	board or commission subject to Senate Confirmation		
<input type="checkbox"/> Public officer with	agency		
<input type="checkbox"/> Employee of	agency		
<b>Employer Information</b>			
Full name			
P.O. Box or Street Address			
City			
State			
Zip			
Title or position held		Nature of business or occupation	

<b>2. SPOUSE</b>		<b>FULL NAME</b>		<b>EMPLOYER</b>	
Last name		First name		Middle	
Name		Address		City	
State		Zip		Spouse's title or position held	
Nature of business or occupation		Received by			
<b>3. SOURCES OF GROSS INCOME OVER \$5,000 (actual dollar amount not required)</b>					
Category		Received by			
<b>4. LOBBYIST'S CLIENTS (of spouse or firm, if applicable)</b>					
Name		Address			
<b>5. REAL ESTATE IN NEW MEXICO (other than personal residence)</b>					
Owner		County		General description	
<b>6. OTHER BUSINESS INTERESTS IN NEW MEXICO OF \$10,000 OR MORE</b>					
Name of business		Position held		By whom	
Purpose of business					

(Printed name)

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

12. I hereby swear or affirm under penalty of perjury that the foregoing information is true, correct and complete to the best of my knowledge.

**11. ADDITIONAL INFORMATION**

Representative	Agency

**10. REPRESENTATION BEFORE STATE AGENCIES (other than a court)**

Seller	Agency

**9. BUSINESS WITH STATE AGENCIES OVER \$5,000 (if any)**

Licensee	Type

**8. PROFESSIONAL LICENSES IN NEW MEXICO (if any)**

Member	Name of business

**7. MEMBERSHIPS ON BOARD OF FOR-PROFIT BUSINESSES (if any)**